

INVESTMENT SELECTOR

OEICS & ICVCS FACTSHEET

Open ended investment companies (OEICs) and Investment Companies with Variable Capital (ICVCs) are authorised investment funds that are formed as corporate entities

KEY INFORMATION

Eligible Pension Products

Embark Pensions Option SIPP if treated as standard.

- Embark Pensions Option SIPP
- Embark Pensions Full SIPP
- Hornbuckle Mitchell Single SIPP
- Hornbuckle Mitchell Full SIPP
- EBS SIPP and SAPPP

Permitted Investment Domicile

- United Kingdom
- Channel Islands
- European Economic area
- No Restriction

Eligible Investors - Advised Clients

- Certified sophisticated investor
- No restriction

Eligible Investors - Non-Advised Clients

- High net worth individual
- Certified sophisticated investor
- Self-certified sophisticated investor
- No restriction

Investment Limits

No limit for regulated collectives. 90% of fund value for unregulated collectives.

Due Diligence Commitment Fee

- All cases
- Asset specific
- Not applicable

Additional Notes And Other Conditions

Must be authorised or recognised by the FCA, or operated in the UK by an FCA authorised person.

REQUIREMENTS

Market Listing Requirements

- HMRC recognised stock exchange
- Not required

Valuation Requirements

- Mark to market daily
- Mark to market (other < annual)
- Annual
- Conditional (asset specific)

Custody Requirements

- FCA Authorised nominee
- Asset specific
- Embark
- Not-applicable

Due Diligence

If unregulated and treated as non-standard: Establishing instrument (Trust Deed; information memorandum or equivalent); application form and any promotional literature provided to investors. A Due Diligence fee will be chargeable.

**CHARGES**

Charges will apply if non-standard.

GET IN TOUCH**Embark Pensions (Option and Full SIPP)**

If you are an intermediary please contact our Client Servicing Team on 01204 803 500 or email customerservices@embarkpensions.co.uk. If you are a client, please contact your financial adviser in the first instance.

For new business queries please contact our Sales Support Team on 01722 443 742 or email enquiries@embarkpensions.co.uk.

Hornbuckle Mitchell (Single and Full SIPP)

If you are an intermediary please contact our Client Servicing Team on 0116 366 8600 or email clientservicing@hornbuckle.co.uk. If you are a client, please contact your financial adviser in the first instance.

EBS SIPP and SAPP

If you are an intermediary please contact our Client Servicing Team on 0203 953 1060 or email ebsinfo@ebspensions.co.uk. If you are a client, please contact your financial adviser in the first instance.