



# INVESTMENT SELECTOR

## DISCRETIONARY FUND MANAGEMENT (DFM) ACCOUNTS

An investment portfolio/account managed on behalf of a retail customer to achieve agreed investment objectives.

### KEY INFORMATION

#### Eligible Pension Products

- Embark Pensions Option SIPP
- Embark Pensions Full SIPP
- Hornbuckle Mitchell Single SIPP
- Hornbuckle Mitchell Full SIPP
- EBS SIPP and SAPP

#### Permitted Investment Domicile

- United Kingdom  Channel Islands
- European Economic area  No Restriction

#### Eligible Investors - Advised Clients

- Certified sophisticated investor
- No restriction

#### Eligible Investors - Non-Advised Clients

- High net worth individual
- Certified sophisticated investor
- Self-certified sophisticated investor
- No restriction

#### Investment Limits

N/A

#### Due Diligence Commitment Fee

- All cases  Asset specific
- Not applicable

#### Additional Notes And Other Conditions

Provider and custodian must be regulated in the EEA or Channel Islands. Controls on permitted investments by way of an agreed side letter will be required. No cash payments except to designated pension bank accounts.

### REQUIREMENTS

#### Market Listing Requirements

- HMRC recognised stock exchange
- Not required

#### Valuation Requirements

- Mark to market daily
- Mark to market (other < annual)
- Annual
- Conditional (asset specific)

#### Custody Requirements

- FCA Authorised nominee  Asset specific
- Embark  Not-applicable

#### Due Diligence

N/A



## CHARGES

### Embark Pensions Option SIPP

In specie transfer in:	<b>£50</b>
In specie transfer out:	<b>£50</b>
Additional annual fee:	<b>£25</b>

### Embark Pensions Full SIPP

In specie transfer in:	<b>£50</b>
In specie transfer out:	<b>£50</b>
Additional annual fee:	<b>£25</b>

### Hornbuckle Mitchell Single SIPP

In specie transfer in:	<b>£120</b>
In specie transfer out:	<b>£120</b>

### Hornbuckle Mitchell Full SIPP

In specie transfer in:	<b>£120</b>
In specie transfer out:	<b>£120</b>

### EBS SIPP and SAPP

In specie transfer in:	<b>£150</b>
In specie transfer out:	<b>£150</b>

## GET IN TOUCH

### Embark Pensions (Option and Full SIPP)

If you are an intermediary please contact our Client Servicing Team on 01204 803 500 or email [customerservices@embarkpensions.co.uk](mailto:customerservices@embarkpensions.co.uk). If you are a client, please contact your financial adviser in the first instance.

For new business queries please contact our Sales Support Team on 01722 443 742 or email [enquiries@embarkpensions.co.uk](mailto:enquiries@embarkpensions.co.uk).

### Hornbuckle Mitchell (Single and Full SIPP)

If you are an intermediary please contact our Client Servicing Team on 0116 366 8600 or email [clientservicing@hornbuckle.co.uk](mailto:clientservicing@hornbuckle.co.uk). If you are a client, please contact your financial adviser in the first instance.

### EBS SIPP and SAPP

If you are an intermediary please contact our Client Servicing Team on 0203 953 1060 or email [ebsinfo@ebspensions.co.uk](mailto:ebsinfo@ebspensions.co.uk). If you are a client, please contact your financial adviser in the first instance.