

# Capped to Flexi-access Drawdown Form Guidance

Quick actions:

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Document reference H198G

## Section A - Member details

If you are completing this form as a supplement to a new application, leave this section blank.

<b>Forename(s)</b>	Your first name and any middle names.
<b>Surname</b>	Your last name.
<b>Date of birth</b>	Your date of birth in the format DD/MM/YY.
<b>Hornbuckle plan number</b>	Your hornbuckle plan number.
<b>Email</b>	Your preferred contact email address. We will use your email address to send you information relating to the administration of your plan, so you should avoid using a work email address or another address likely to be accessed by someone else.

## Section B - About your request

If you have received advice from an independent financial adviser and your adviser is submitting the application on your behalf you do not need to answer the questions, otherwise please answer ALL of the questions asked.

## Section C - Member declarations

### 1. Next steps and your declaration

You should read this section carefully. You should sign and date this section of the form where indicated.

### 2. Adviser details

This section of the form should be completed by your financial adviser.

<b>Adviser name</b>	The full name of the individual providing the personal recommendation to the applicant as it appears on the financial services register.
<b>Firm name</b>	The name of the adviser firm as it appears on the financial services register.  If the firm is using a trading name, write the trading name as it appears on the financial services register.  If the firm is an appointed representative of another regulated firm, write the name of the appointed representative (not the name of the principal firm).
<b>Firm FCA reference</b>	The FCA reference number of the firm.
<b>Have you provided a personal recommendation to the plan member in relation to the drawing of their benefits?</b>	Hornbuckle strongly suggest that financial advice is sought prior to taking benefits, failure to do so could result in additional declarations being required.
<b>On which basis has the personal recommendation been made?</b>	Confirm whether the transaction represents a "distance contract" as defined in the FCA handbook.

## 2. Adviser details ctd.

<b>Have you agreed with the plan member that we should pay you a fee from their Hornbuckle plan?</b>	In order to facilitate the payment of an adviser charge from the plan, we will require a completed H108 Adviser charging form providing details of the payment(s).
<b>Adviser signature</b>	The form should be signed by the person who has provided the personal recommendation to the plan member.
<b>Print name</b>	The name of the person signing the form.

### Get in touch

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Page 2