

# ADVISER CHARGE FORM

- For use with:**
- Hornbuckle Mitchell SIPP
  - Hornbuckle Mitchell Private Pension
  - Private Pension
  - Hornbuckle Mitchell FIPP
  - Freedom SIPP

Please complete this form in **BLOCK CAPITALS** and black ink and return it to: Embark Pensions, Provincial House, 37 New Walk, Leicester, LE1 6TU. If you need assistance in completing this form, please call our Customer Services team on 0116 366 8600.

This form confirms the charges you've agreed to pay to your financial adviser and is your instruction to Embark Services Limited, trading as Embark Pensions to facilitate the payment of these charges from your pension and pass them on to your financial adviser.

You need to complete a new Adviser Charge Form if you want to instruct us to pay further ad-hoc adviser charges.

If you've any questions concerning your adviser charge please speak with your financial adviser.

## 1 FINANCIAL ADVISER DETAILS

If you are transferring existing pensions into the pension, we will require additional information to complete the transfer.

Financial Adviser Firm Name:

Adviser Contact Name:

Please provide the bank account details these fees should be paid to:

Account Name:

Account Number:

Sort Code:

## 2 MEMBER DETAILS

Ref:

Title:

Forename(s):

Surname:

Date of Birth:

| D | D | M | M | Y | Y | Y | Y |

National Insurance Number:

Email Address:

## 3 ADVISER CHARGE

The effective date of this charge will be the date we receive this form.

Agreed Fees to be paid from the pension to the Financial Adviser.

### Initial fee

£ or %:

Is this charge subject to VAT?

Date fee to be paid:

| D | D | M | M | Y | Y |

**OR** immediate

**3 ADVISER CHARGE (CONTINUED)**

**One-off fee**

£ or %: \_\_\_\_\_ Is this charge subject to VAT? \_\_\_\_\_  
 Date fee to be paid: | D | D | M | M | Y | Y | **OR** immediate

**Ongoing fee**

£ or %: \_\_\_\_\_ Is this charge subject to VAT? \_\_\_\_\_  
 Date fee to be paid: | D | D | M | M | Y | Y | **OR** immediate  
 And then to be paid:                      Annually                      Quarterly

**Direct fees**

Will your adviser be receiving any fees directly from an Investment Fund?                      Yes                      No

If yes, please provide details:

Please sign to confirm your agreement that the above detailed fees be paid to your adviser and that any change to your financial adviser or any change in fees will be supplied in writing.

Member signature:  \_\_\_\_\_ Date: | D | D | M | M | Y | Y | \_\_\_\_\_

**4 ADVISER DECLARATION**

I declare that the fee or fees payable pursuant to this form:


- Relate only to advice or other services I have provided to the plan member in relation to their benefits under the plan
- Relate only to a genuinely commercial arrangement agreed with the plan member
- Will not be paid in whole or in part to the plan member or anyone connected to the plan member


Adviser signature:  \_\_\_\_\_


Print Name: \_\_\_\_\_


Date: | D | D | M | M | Y | Y | \_\_\_\_\_



 0116 366 8600

 Provincial House, 37 New Walk, Leicester, LE1 6TU

 [clientservicing@embarkpensions.co.uk](mailto:clientservicing@embarkpensions.co.uk)

 [embarkpensions.co.uk](http://embarkpensions.co.uk)

Hornbuckle Mitchell Trustees Limited are the trustee for the Hornbuckle Mitchell SIPP, the Hornbuckle Mitchell Private Pension, the Private Pension and the Hornbuckle Mitchell FIPP. Avalon SIPP Trustees Limited are the trustee for the Freedom SIPP.

Embark Pensions is a trading name of Embark Services Limited, a company registered in England (No. 02089815) with its registered office at 100 Cannon Street, London, EC4N 6EU. Embark Services Limited is authorised and regulated by the Financial Conduct Authority (Registration no. 120820). Embark Services Limited is a wholly owned subsidiary of Embark Group Limited (No. 03578067) with its registered office at 100 Cannon Street, London EC4N 6EU.